RECEIVED

JUN 1 0 2008 CARTER LEDYARD & MILBURN LLP

PCT LEGAL ADMINISTRATION

Kelth D. Nowak

Pariner

Direct Dial: 212-238-8610 E-mail: nowak@clm.com Counselors at Law

2 Wall Street New York, NY 10005-2072

> Tel (212) 732-3200 Fux (212) 732-3232

701 8th Street, N.W., Suite 410 Washington, DC 20001-3893 (202) 898-1515

570 Lexington Avenue New York, NY 10022-6856 (212) 371-2720

June 10, 2008

Client No.: HOP07.019

FAX TRANSMITTAL

Total pages (including cover). 10		The state of the s
NAME/COMPANY	TELEPHONE NUMBER	FACSIMILE NUMBER
Examiner Rafael Bacares	571-272-3276	571-273-0459
PCT Legal Examiner		

Please confirm receipt via return fax or email at varghese@clm.com

THIS MESSAGE IS INTENDED FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND/OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OF THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROVIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

- PLEASE CALL OUR OPERATORS (212) 238-8729 IF ANY PAGES ARE NOT RECEIVED -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Reuben Hoppenstein et al.) Confirmation No.: 2511
Application No.: 10/572,411)
I.A. Filing Date: November 8, 2005	·
For: IMPROVED VEHICLE CHASSIS))))

RENEWED REQUEST UNDER 37 CFR § 1.497(d) TO CORRECT INVENTORSHIP

Mail Stop Petition Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith is a Renewed Request to Correct Inventorship Under 37 C.F.R. §1.497(d), to change and correct the inventive entity set forth in the international application by properly adding Reuben Hoppenstein as an inventor and applying this change to the above-identified patent application. This Renewed Request confirms that Applicants October 4, 2008 response sufficiently complied with the Notice of Defective Response of September 4, 2007 wherein Applicants submitted the following:

- Statement of Reuben Hoppenstein in Support of Petition to Correct Inventorship Under 37 C.F.R. § 1.497(d)
- Executed Declaration for Utility or Design Application Using an Application Data Sheet
- Statement Under 37 C.F.R. § 3.73(b)
- Application Data Sheet

6339910.1

Processing fee of \$130.00 set forth in 37 C.F.R. § 1.17(i).

On March 6, 2008, the U.S.P.T.O. mailed a decision to the Petition under 37 C.F.R. § 1.497(d) to Applicants previous law firm. However, the U.S.P.T.O's immediate-prior correspondence to the Applicant dated September 4, 2007 was to Applicant's new address. Applicant had supplied the new address to the U.S.P.T.O. in a Change of Correspondence form that was received and recorded by the OIPE on September 22, 2006. Applicant was not aware of the March 6, 2007 decision until June 4, 2008. That same day Applicant called and left a message with Examiner Bacares.

In the conference call of June 9, 2008, Examiner acknowledged the error in the mailing and extended Applicants response date to August 9, 2008, two months from the June 9, 2008 conference call. In this conference, Applicant also brought to the Examiner's attention the error noticed in the "Discussion" section regarding submission of an assignment under #3. Applicant informed Examiner that the latest copy of assignment had been submitted with the October 4, 2007 filing and supported the statement with reference to the Patent Assignment Abstract of Title. The abstract shows the only assignment filed in the U.S.P.T.O. was received on March 30, 2006. Applicant has no knowledge about any later filed assignment recorded on April 6, 2006. After reviewing the Patent Assignment Abstract, Examiner agreed and requested a statement be made in Applicant's response. Accordingly, Applicant affirms that a copy of the proper assignment was submitted with the petition on the October 4, 2007 date.

To expedite the pending matter, Examiner approved Applicant's submitting the present Renewed Response via facsimile to Examiner Bacares' facsimile number 571-273-0459.

Accordingly, Applicants request that this Petition be granted and the application begin accelerated examination as was granted by the Office of Petitions on April 26, 2007.

Applicant believes no fees are due at this time as the processing fee set forth in 37 C.F.R. § 1.17(i) was properly submitted with Applicant's October 4, 2007 petition. If, however, fees are due, the Commissioner is authorized to charge Deposit Account No.

2

6339910.1

P.03

Application No. 10/572,411

50-2499 for any fee that remain due with this correspondence. The Commissioner is also authorized to charge any additional fees or credit any overpayments during the pendency of this application to Deposit Account No. 50-2499.

Respectfully submitted,

Dated: June 10, 2008 By:

/Keith D. Nowak/

Keith D. Nowak
Attorney for Applicant
Reg. No. 27,367
Carter Ledyard & Milburn LLP
2 Wall Street
New York, NY 10005

Telephone No.: (212) 238-8610 Facsimile No.: (212) 732-3232

3

PTO/SB/122 (01-08)
Approved for use (hrough 12/31/2008, OMB 0851-0038
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS Application

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Application Number	10/572,411	
Filing Date	March 16, 2006	
First Named Inventor	Hoppenstein, Reuben	
Art Unit		
Examiner Name		
Attorney Docket Number	HOP07.019	

Please change the Correspondence Address for the above	e-identified patent appl	lication to:		
The address associated with Customer Number:				
OR				
Firm or Individual Name Keith D. Nowak				
Carter Ledyard & Milburn LLP Address 2 Wall Street				
City New York	State NY	Zip 10005		
Country US	<u> </u>			
Telephone (212) 238-8610	Email nowak@	gdm.∞m		
This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124). I am the: Applicant/Inventor Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). Attorney or agent of record. Registration Number				
Signature / with the signature				
Typed or Printed Keith D. Nowak	1 = 1 = 1			
Date 9/19/06	Telephone (212) 23			
NOTE: Signatures of all the inventors or assignees of record of the antire interest or their representative(e) are required. Submit multiple forms if more than one signature is required, see below.				
Total offarms ere submitted.				

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 27 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including pathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Tredamark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patentia, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





Atty. Docket No. HOP07.019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Reuben Hoppenstein

Application No.: 10/572,411

Filed: March 16, 2006

For: IMPROVED VEHICLE CHASSIS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence and the documents listed below are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

on September 19, 2006

Date

Documents included:

• Change of Correspondence Address - Patent SB/122 (1 pg.)

• Return Postcard.

Signature

Claudia Chavez

Typed or Printed Name of Person Signing Certificate

Phone Number: (212) 238-8757

6111332.1

CL&M





0.6 MAR 2008

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX. 1450
ALEXANDRIA, VA 22313-1450
www.uspio.gov

Keith D. Nwak

DICKSTEIN SHAPIRO MORIN & OSHINSK

1177 Avenue pf the Americas

41st Floor

New York, New York 10036-2714

In re Application of Hoppenstein et al

Application No.: 10/572,411

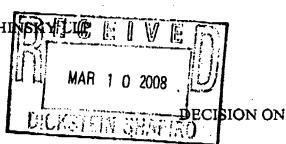
International App. No.: PCT/US2005/040349

Int. Filing Date: 08 November 2005

Priority Date: 16 March 2005

Attorney's Docket No.: H7885.00.18

For: IMPROVED VEHICLE CHASSIS



REQUEST UNDER

37 CFR 1.497(d)

This is a decision on applicants' "RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE AND PETITION UNDER 37 CFR 1.497(d) TO CORRECT INVENTORSHIP" filed on 04 October 2007, naming additional inventor, Reuben Hoppenstein, in the executed declaration. The \$130.00 processing fee has been charged to Deposit Account No. 50-2499.

BACKGROUND

On 08 November 2005, applicants filed international application No. PCT/US2005/04039 and claiming a priority date of 16 March 2005.

On 16 March 2006, applicants filed a Transmittal Letter for entry into the national stage in the United States of America. Filed with the Transmittal Letter was, inter alia, the requisite basic national fee. No executed oath or declaration was filed at such time.

On 16 January 2007, the United States Designated/Elected Office (DO/EO/US) mailed a "NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)" (Form PCT/DO/EO/905) which informed applicant, inter alia, that an "Oath or declaration of the inventors, in compliance with 37 CFR 1.47(a) and (b), identifying the application by International application number and international filing date in that the declaration not signed by inventor (Day, Robin). The notice also indicated that the items set forth above must be submitted within two (2) months from date of this Notice or by 32 months from the priority date, whichever is later, in order to avoid abandonment of the national stage application.

Application No.: 10/572,411

7

On 04 October 2007, applicants filed a an executed declaration which included an additional inventor, and a request for correction of inventorship under 1,497(d) to add Rueben Hoppenstein to the declaration.

DISCUSSION

A submission under 37 CFR 1.497(d) must include:

- (1) a statement from each person being added as an inventor and from each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part;
- (2) the fee set forth in § 1.17(I); and
- (3) If an assignment has been executed by any of the original named inventors, the written consent of the assignee (see 37 CFR §3.73(b) of this chapter).

A review of the application file reveals that applicants have satisfied items (1) - (2) under 37 CFR 1.497(d) but not requirement (3).

With respect to item (1), the statement submitted 04 October 2007 by Rueben Hoppenstein is sufficient because the statement states that the error in inventorship occurred without a deceptive intent.

With respect to item (2), the processing fee of \$130.00 has been charged to the Deposit Account.

With respect to item (3), applicants did not submit the latest copy of the executed assignment to the assignee in the file, which was recorded on 04/06/2006 instead applicants submitted the assignment filed on 03/30/2006, which appears to improper. To establish the right of the Assignee to take action, applicants must submit a copy of the executed assignment or specify the reel and frame number. Note MPEP 324 and 37 CFR 3.73(b).

CONCLUSION

For the reasons above, the request under 37 CFR 1.497(d) is <u>DISMISSED</u> without prejudice.

If reconsideration on the merits of this petition is desired, a proper reply must be filed within TWO (2) MONTHS from the mail date of this decision. The proper reply is either a proper request under 37 CFR 1.497(d) overcoming the above stated defects. The failure to provide the proper reply will result in Abandonment of the application. Any reconsideration, request should include a cover letter entitled "Renewed Request Under 37 CFR 1.497(d)". Extensions of time may be obtained under 37 CFR 1.136(a).

Application No.: 10/572,411

3

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Rainor Bacares
PCT Legal Examiner
PCT Legal Office

Telephone: (571) 272-3276 Facsimile: (571) 273-0459

图

View Order List

https://sportal.uspto.gov/secure/myportal/!ut/p/kcxml/04_Sj9SPykssy0xPLMnMz0vM0Y_QjzKLN4gPNgXJgFg-ofqRqCLGpugijnABX4_...

6/4/2008

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz Alerts | News | Help

United States Patent and Trademark Office

Sign-Off Authenticated Session Other **Trademarks** Patents Portal Home

ij Patent eBusiness

Patent Application Information Electronic Filing $oldsymbol{\Xi}$

S1SL3S3S3S

E Patent Ownership

Fees

E Supplemental Resources & Support

Patent Information

Total Assignments: 1

Patent Guidance and General Info Employee & Office Directories Resources & Public Notices E Codes, Rules & Manuals

Patent Official Gazette Patent Searches

E Search Patents & Applications (3 Search Biological Sequences El Copies, Products & Services

Policy & Law Reports **[rademarks** Copyrights Other

Title: Vehicle Chassis Reei/Frame: 017724 / 0272 Application #: 10572411 Inventor: Robin Day PCT #: NONE Assignment: 1

CL&M

Secured Patent Application Information Retrieval

XXI. Download

10/572,411

Dorder Certified Application As Filed Order Certified File Wrapper

Vehicle Chassis

Assignments

Q

Issue Dt:

Patent Assignment Abstract of Title

Patent #: NONE

Filling Dt:

Publication #: NONE

Pub Dt:

Mailed: 06/05/2006 Recorded: 03/16/2006

Pages: 4

Exec Dt: 11/01/2005 Exec Dt: 11/01/2005

Received: 03/30/2006

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Assignars: HOPPENSTEIN, REUBEN

Assignee: COCKY COACH LLC DAY, ROBIN D.

122 E 72ND STREET, SUTTE 36A

NEW YORK, NEW YORK 10021

Correspondent: KEITH D. NOWAK

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS

41ST FLOOR

VEW YORK, NY 10036-2714

Search Results as of: 06/04/2008 14:34:22 PM

If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different. Assignment information on the assignment database reflects assignment documents that have been actually recorded. ff you have any comments or questions concerning the data displayed, contact OPR / Assignments at 571-272-3350 **Disclaimer:**

15:32

If you need help:

Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail <u>EBC@uspto.gov</u> for specific questions about Patent Application Information Retrieval (PAIR).

RECEPTION OK

TX/RX NO

RECIPIENT ADDRESS

DESTINATION ID

ST. TIME

TIME USE

PGS.

RESULT

5601

2127323232

06/10 12:33

03'45

10

OK